

MODULE DESCRIPTOR

MODULE TITLE	BANKING AND FINANCIAL SERVICES REGULATION		
MODULE CODE	LA4101 (L7)	CREDIT VALUE	20 UK CREDITS / <u>10 ECTS</u>
SCHOOL	SCHOOL OF LAW		

MODULE AIMS

This module aims to give students a thorough understanding of the laws governing the international regulation of Banking and Financial Services, to engender a critical approach to understanding the control of regulation, the processes that exist and the division of responsibilities and to highlight the economic, business environment and context of the legal provisions and current developments in economic and political policy.

MODULE CONTENT

Indicative syllabus content:

1. Introduction to international banking and financial services regulation – issues of risk taking relating to the financial sector, consideration of relevant stakeholders, corporate structures and industry bodies, legal structure of financial instruments.
2. Historical, political and economic factors underlying global regulation.
3. Background to the national, EU and international regulatory perspectives and legislative frameworks – legal, economic and political factors.
4. Investor protection models.
5. Principles of international banking.

INTENDED LEARNING OUTCOMES

On successful completion of this module a student will be able to:

1. Understand and apply the issues underlying banking and financial services regulation in the EU and transnationally; issues of risk taking, the relevant stakeholders, corporate structures, trade bodies, legal structure of financial instruments, historical, political and economic factors.
 2. Demonstrate an understanding of the ways in which, and the extent to which, traditional norms of international law apply in the sphere of economic relations.
 3. Show a critical understanding of the workings of the regulatory system in the international context including the place of EU regulation in relation to the global banking and financial services community.
 4. Demonstrate an awareness of economic and political factors likely to drive future change.
 5. Research, critically evaluate and present well-structured arguments in written and oral work.
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TEACHING METHODS

This module is organised into 2-hour weekly seminars. During each seminar, the tutor will deliver a short presentation and facilitate a discussion of critical issues within the said topic led by the students, either working in groups or individually. Some topics may be divided between two Seminars. Students will be expected to have independently researched the issues for discussion in each seminar and to come to seminars prepared to respond and participate in an open and active critical discussion.

ASSESSMENT METHODS

This module is assessed through an individual presentation and a written assignment.