

MODULE DESCRIPTOR

MODULE TITLE	BANKING AND FINANCIAL SERVICES REGULATION		
MODULE CODE	LA4101 [L7]	CREDIT VALUE	10 ECTS
SCHOOL	SCHOOL OF LAW		

MODULE AIMS

- To give students a thorough understanding of the laws governing the international regulation of Banking and Financial Services.
- To engender a critical approach to understanding the control of regulation, the processes that exist and the division of responsibilities.
- To highlight the economic, business environment and context of the legal provisions and current developments in economic and political policy.

MODULE CONTENT

1. Introduction to international banking and financial services regulation – issues of risk taking relating to the financial sector, consideration of relevant stakeholders, corporate structures and industry bodies, legal structure of financial instruments.
2. Historical, political and economic factors underlying global regulation.
3. Background to the national, EU and international regulatory perspectives and legislative frameworks – legal, economic and political factors.
4. Investor protection models.
5. Principles of international banking.

INTENDED LEARNING OUTCOMES

On successful completion of this module a student will be able to:

Understand and apply the issues underlying banking and financial services regulation in the EU and transnationally; issues of risk taking, the relevant stakeholders, corporate structures, trade bodies, legal structure of financial instruments, historical, political and economic factors.
Demonstrate an understanding of the ways in which, and the extent to which, traditional norms of international law apply in the sphere of economic relations.
Show a critical understanding of the workings of the regulatory system in the international context including the place of EU regulation in relation to the global banking and financial services community.
Demonstrate an awareness of economic and political factors likely to drive future change.
Research, critically evaluate and present well-structured arguments in written and oral work.

TEACHING METHODS

Preparation for the workshops will involve extensive research and reading, to consider and critically analyse the set topics and the discussion questions, set in advance, arising from those topics. Students are expected to prepare answers to the discussion group questions and present these to, and discuss with, the workshop tutor and workshop group members. In addition to the skills of analysis and research, students are expected to develop and apply skills of analytical discussion, oral expression and writing. Students will engage with other students and staff in online activities, and synchronous and asynchronous workshops, to

consider and critically analyse particular sources and engage in discussion leading to development of these skills.

The workshops also give students the opportunity to synthesise in a more dynamic way the independent learning already undertaken and apply principles from various themes covered in the workshop activity sheets.

One workshop may be run online, two weeks before the module starts, to introduce students to module themes and principles in advance of the campus-sessions. The final workshop will take place two-weeks before the submission deadline for coursework and run as a revision session.

ASSESSMENT METHODS

This module is assessed through an essay (75%) and a presentation/online activity/essay plan (25%).